

09/14/99  
1c712 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. 0100.9800680 Total Pages 39  
First Inventor or Application Identifier Klebanov, et al  
Title METHOD AND APPARATUS FOR  
RECEIVING DIGITAL VIDEO SIGNALS  
Express Mail Label No. EL454270568US

1c584 U.S. PTO  
09/398913  
09/14/99

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ **Fee Transmittal Form**  
(Submit an original, and a duplicate for fee processing)
2. ☒ **Specification** Total Pages 21  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3. ☒ **Drawings (35 USC 113) Total Sheets 8**
4. **Oath or Declaration** Total Pages 3
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.83(d))

(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

**i. ☐ DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.83(d)(2) and 1.33(b).

6. ☐ **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ **Assignment Papers (cover sheet & document(s))**
8. ☐ **37 CFR 3.73(b) Statement** ☐ **Power of Attorney**  
(when there is an assignee)
9. ☐ **English Translation Document (if applicable)**
10. ☐ **Information Disclosure Statement (IDS)/PTO-1449** ☐ **Copies of IDS Citations**
11. ☐ **Preliminary Amendment**
12. ☒ **Return Receipt Postcard (MPEP 503)**  
(Should be specifically itemized)
13. ☐ **Small Entity Statement(s)** ☐ **Statement filed in Prior Application, Status still proper and desired.**
14. ☐ **Certified Copy of Priority Document(s)**  
(if foreign priority is claimed)
15. ☐ **Other**

5. ☐ **Microfiche Computer Program (Appendix)**


**16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:  
Prior Application Information: Examiner Group / Art Unit:

## 17. CORRESPONDENCE ADDRESS

- ☐ Customer Number or Bar Code Label or, ☒ Correspondence Address Below

Markison & Reckamp, P.C.  
175 West Jackson Boulevard - Suite 1015  
Chicago, Illinois 60604  
Telephone: 312-939-9800 Facsimile: 312-939-9828

Name (Print/Type)	J. Gustav Larson	REGISTRATION NUMBER	39,263
Signature		Date	9-13-99

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ilya Klebanov, et al

Examiner:

Serial No:

Art Group:

Filing Date:

Docket No: 0100.9900680

Title: METHOD AND APPARATUS FOR RECEIVING DIGITAL VIDEO SIGNALS

To the Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Exp EL454270568US

Name of Depositor:

Kristen Herzog  
(print or type)

Date of Deposit: 9.14.99

Signature:

Kristen G. Herzog

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Items accompanying this Certificate of Express Mailing:

☒ Filing papers for a new patent application or PCT application entitled METHOD AND APPARATUS FOR RECEIVING DIGITAL VIDEO SIGNALS invented by Ilya Klebanov, et al and having a docket no. of 0100.9900680, such filing papers include:

- ☒ A specification and drawings for a new patent application;
- ☒ Transmittal cover letter;
- ☒ Assignment agreement;
- ☒ Assignment Cover Sheet;
- ☒ Combined Declaration and Power of Attorney;
- ☐ Statement of Small Entity Status;
- ☐ Information Disclosure Statement;
- ☒ Return upon receipt post card;

☐ Filing of a correspondence in an interference which an examiner-in-chief ordered to be filed by hand or "Express Mail";

☐ Filing of an agreement between parties to an interference under 35 U.S.C. 135 (c);

☐ Filing of correspondence in an international application before the U.S. Receiving Office, the U.S. International Searching Authority, or the U.S. International Preliminary Examining Authority;

☐ Filing of a copy of the international application and the basic national fee necessary to enter the national stage, as specified in 37 C.F.R. Section 1.494 (b) or 37 C.F.R. Section 1.495(b).

00454270568US

**FEE TRANSMITTAL**

Note: Effective October 1, 1997,  
Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$) 886.00**

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	50-0441
Deposit Account Name	ATI Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 760 201 380		Utility filing fee	760.00
106 310 206 165		Design filing fee	
107 480 207 240		Plant filing fee	
108 760 208 380		Reissue filing fee	
114 150 214 75		Provisional filing fee	

**SUBTOTAL (1) (\$) 760.00**

**2. CLAIMS**

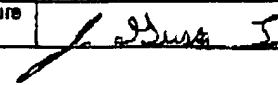
Claims	Extra	Fee from below	Fee Paid
Total 21	(-20 =)	18	18.00
Indep. 4	(-3 =)	78	78.00
Multiple Dep.			

Large Entity Fee Code (\$)	Small Entity Fee Code	Fee Description
103 18 203 9		Claims in excess of 20
102 78 202 39		Independent claims in excess of 3
104 280 204 130		Multiple dependent claim
109 78 209 39		Reissue independent claims over original patent
110 18 210 9		Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$) 96.00**

**SUBMITTED BY MARKISON & RECKAMP, P.C.**

Typed or Printed Name J. Gustav Larson

Signature 

Date 9-13-89

Complete (if applicable)

Reg. Number 39263

Deposit Account

User ID

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Klebanov, et al
Group Art Unit	
Examiner Name	
Attorney Docket Number	0100.9900680

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 199 130		Non-English specification	
147 2,620 147 2,620		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 380 216 190		Extension for reply within second month	
117 870 217 435		Extension for reply within third month	
118 1,360 218 680		Extension for reply within fourth month	
128 1,850 228 925		Extension for reply within fifth month	
119 300 219 150		Notice of Appeal	
120 300 220 150		Filing a brief in support of an appeal	
121 280 221 130		Request for oral hearing	
136 1,510 136 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,210 241 605		Petition to revive - unintentional	
142 1,210 242 605		Utility issue fee (or reissue)	
143 430 243 215		Design issue fee	
144 580 244 290		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Sheet	
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146 760 246 380		Filing a submission after final rejection (37 CFR 1.129(e))	
149 760 249 380		For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$) 40.00**